

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **Takahiro FUJIWARA, Hideki NARA, Tsukasa SOTOGUCHI**

Serial No.: **Not Yet Assigned [Express Mail Label No. EV343733341US]**

Filed: **Herewith**

For: **PROCESS FOR THE PRODUCTION OF OPTICALLY ACTIVE AMINO ALCOHOLS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicants herewith submit the publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

X (1) within three (3) months of the Filing Date or **before the mailing date of the First Office Action** on the merits; or

— (2) within three months of the mailing date of the Written Opposition issued by the: _____ Patent Office (dated _____); or

— (3) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and the requisite Certification or fee under Rule 1.17(p), namely \$240.00, is included herein; or

— (4) after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, and the requisite Certification, petition, and petition fee are included herein.

It is respectfully requested that each of the documents shown on the attached form(s) PTO-1449 be made of record in this application. Copies of these documents (CHECK ONE):

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X are enclosed herewith; or
— have been cited in the parent application, and are thus not being resubmitted herein.

Early examination and allowance of the present application are respectfully solicited.

FEE AUTHORIZATION

Should any fees associated with the submission be required, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 04-1105. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,



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Date: September 4, 2003

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT		DOCKET NO.: 59585 (71526)		SERIAL NO.: Not Yet Assigned	
		APPLICANT(S): T. Fujiwara et al.			
		FILING DATE: Herewith		GROUP NO.: Not Yet Assigned	

UNITED STATES PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	AA	2000-016997	1/18/00	JAPAN			
	AB	11-269185	10/5/99	JAPAN			
	AC	10-182678	7/7/98	JAPAN			
	AD	04-139140	5/13/92	JAPAN			
	AE	62-265293	11/18/87	JAPAN			
	AF	61-063690	4/1/86	JAPAN			

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

AG	Priority Document Number 625679
AH	Mashima et al., "Synthesis of New Cationis BINAP-Ruthenium (II) Complexes and their Use in Asymmetric Hydrogenation[BINAP=2,2'-bis(diphenylphosphino)-1,1'-binaphthyl]", J. CHEM. SOC., CHEM. COMMUN, PP. 1208-1210, (1989)
AI	Ami et al., "Lipase-catalyzed Kinetic Resolution of (+) – trans-and cis-2-Azidocycloalkanols". BIOSCI. BIOTECHNOL. BIOCHEM., 63 (12), pp. 2150-2156, (1999)
AJ	Bertau et al., "A Novel Highly Stereoselective Synthesis of Chiral 5- and 4, 5-Substituted 2-Oxazolidinones", TETRAHEDRON: ASYMMETRY 12 pp. 2103-2107, (2001)
AK	Overman et al., "A Convenient Method for Obtaining trans-2-Aminocyclohexanol and trnas-2-Aminocyclopentanol in Enantiomerically Pure Form", J.ORG. CHEM., Vol. 50, pp. 4154-4155, (1985)
AL	Coe et al., "Potassium trimethylsilanolate Induced Cleavage of 1,3-oxazolidin-2-and 5-ones, and Application to the Synthesis of ®-Salmeterol", ORG. BIOMOL. CHEM, Vol. 1, pp. 1106-1111, (2003)
AM	Greene et al., "Protective Groups in Organic Synthesis", Second Edition, pp. 314-341

Examiner:

Date: